Genetic and neural correlates of romantic relationship satisfaction

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Abstract

Romantic relationship satisfaction (RRS) is important for mental/physical health but varies greatly across individuals. To date, we have known little about the biological (genetic and neural) correlates of RRS. We tested the hypothesis that the serotonin transporter promoter polymorphism (5-HTTLPR), the promoter region of the gene SLC6A4 that codes for the serotonin transporter protein, is associated with individuals’ RRS. Moreover, we investigated neural activity that mediates 5-HTTLPR association with RRS by scanning short-short (s/s) and long-long (l/l) homozygotes of 5-HTTLPR, using functional MRI, during a Cyberball game that resulted in social exclusion. l/l compared to s/s allele carriers reported higher RRS but lower social interaction anxiety. l/l compared to s/s carriers showed stronger activity in the right ventral prefrontal cortex (RVPFC) and stronger functional connectivity between the dorsal and rostral ACC when being excluded from the Cyberball game. Moreover, the 5-HTTLPR association with RRS was mediated by the RVPFC activity and the 5-HTTLPR association with social interaction anxiety was mediated by both the dorsal-rostral ACC connectivity and RVPFC activity. Our findings suggest that 5-HTTLPR is associated with satisfaction of one’s own romantic relationships and this association is mediated by the neural activity in the brain region related to emotion regulation.

Keyword: 5-HTTLPR, fMRI, functional connectivity, romantic relationship satisfaction, ventral prefrontal cortex
Introduction

Mutually voluntary interactions between romantic partners constitute one of the most important interpersonal relationships in humans. Psychology and medical care research has shown that higher romantic relationship satisfaction (RRS) is associated with greater relationship stability and lower rates of relationship dissolution (Gottman and Levenson, 1992). Higher RRS also predicts higher levels of well-being and mental/physical health (Prigerson et al., 1999). It is thus of wide interests to investigate what psychological traits influence RRS. Meta-analyses have revealed that greater RRS is associated with higher emotional stability, agreeableness, conscientiousness, extraversion and openness (Heller et al., 2004) and higher levels of emotional intelligence (Malouff et al., 2014). Higher levels of personality traits in one partner are also associated with higher levels of relationship satisfaction in the other partner (Malouff et al., 2010).

Despite the significance of RRS for well-being and health, to date, we have known little about the biological (genetic and neural) correlates of subjective feelings of one’s own romantic relationships among young adults. The present study tested the potential association between RRS and the serotonin transporter promoter polymorphism (5-HTTLPR) — the promoter region of the gene SLC6A4 that codes for the serotonin transporter protein. The 5-HTTLPR has a short and a long variant with the short variant producing less serotonin transporter mRNA and protein than the long variant, leading to higher concentrations of serotonin in the synaptic cleft, greater
association anxiety-related personality traits and higher risk for affective spectrum disorders (Lesch et al., 1996; Greenberg et al., 2000; Caspi et al., 2003; Canli and Lesch, 2007). Functional magnetic resonance imaging (fMRI) studies also reported evidence for enhanced neural responses to negative emotions in brain regions such as the amygdala, dorsal anterior cingulate cortex (dACC) and anterior insula (AI) in the short than the long variant of 5-HTTLPR (Hariri et al., 2002; Canli et al. 2005; Heinz et al. 2005; Ma et al., 2014).

Recent behavioral research has suggested an association between the 5-HTTLPR and individuals’ affective responses related to marital partners. For example, Schoebi et al. (2012) asked married young couples with mean ages of 26.4 (wives) and 27.9 (husbands) years to report their affective states before and after marital discussions. It was found that, relative to spouses with the long-long (l/l) allele of the 5-HTTLPR, spouses carrying the short alleles of the 5-HTTLPR were more responsive to their partner’s pre-interaction positive affect and anxiety. Of more relevance to the current work, Haase et al. (2013) investigated whether the 5-HTTLPR moderates the association between negative and positive emotional behavior and changes in marital satisfaction over time in middle-aged and older adults aged between 40 and 70 years. They found that, for short-short (s/s) homozygotes of 5-HTTLPR but not l/l allele carriers, higher negative and lower positive emotional behavior at Time 1 predicted declines in marital satisfaction over time. While these findings suggest that the 5-HTTLPR plays a role in marital satisfaction and related affective response in
married adults, it is unclear whether the 5-HTTLPR is also associated with subjective feelings of the romantic relationships in unmarried young adults. Furthermore, it remains unknown whether and how the association between the 5-HTTLPR and feelings of one’s own romantic relationships is mediated by emotion-related brain activity.

Building on the previous studies, the current study had two goals. First, we tested a possible association between 5-HTTLPR and RRS. Given the fact that the short compared to long allele carriers of the 5-HTTLPR showed greater anxiety-related personality traits and higher risk for affective spectrum disorders (Lesch et al., 1996; Greenberg et al., 2000; Caspi et al., 2003; Canli and Lesch, 2007) whereas the long compared to short allele carriers of 5-HTTLPR reported greater life satisfaction (De Neve, 2011), we hypothesized that the long compared to short allele carriers are more satisfied with their own romantic relationships. This hypothesis was tested by collecting self-report of romantic relationships in s/s and l/l allele carriers of the 5-HTTLPR who were in a romantic relationship but unmarried when being tested.

The second goal of the current work was to investigate whether and how the difference in RRS between short and long variants of 5-HTTLPR, if any, is mediated by emotion-related brain activity. Besides the findings of increased emotional responses in the amygdala and dACC/AI in the short compared to long allele carriers (e.g., Hariri et al., 2002; Canli et al. 2005; Heinz et al. 2005; Ma et al., 2014; 2015), there has been evidence for the 5-HTTLPR genotype difference in emotion regulation.
Walderhaug et al. (2010) reported behavioral evidence that the short compared long allele carriers of the 5-HTTLPR were more impulsive in a continuous performance test. Firk et al. (2013) showed brain imaging evidence that, during passive perception of negative emotional pictures, l/l compared to s/s allele carriers of the 5-HTTLPR showed greater activity in the lateral prefrontal cortex, a brain region that is engaged in emotion regulation (Ochsner and Gross, 2005). These findings, together with the fact that both anxiety-related trait and emotion regulation were associated with subjective well-being (Costa et al., 1980; Gross & John, 2003), inspired us to test two competing hypotheses, i.e., either the brain activity related to emotional responses in the amygdala and dACC/AI or the brain activity related to emotion regulation in the lateral prefrontal cortex plays a key role in mediating the 5-HTTLPR association with RRS.

We adopted a social rejection paradigm (Eisenberger et al., 2003) to test these competing hypotheses for a couple reasons. First, behavioral research has shown that the sensitivity to rejection by familiar and unfamiliar others is negatively correlated with satisfaction in relationships but positively associated with depressive symptoms (Ayduk et al., 2001; Downey et al., 1999). Therefore, emotional and neural responses induced by social rejection can be used as objective measures of sensitivity to rejection that influences romantic relationships (e.g., Downey et al., 1999). Second, the previous fMRI studies have shown that social rejection activates a neural network in which the AI and rostral ACC (rACC) are related to distressed feelings due to social rejection (DeWall et al., 2010; Masten et al., 2009; Way et al., 2009;
Eisenberger et al., 2003; Eisenberger and Lieberman, 2004; Masten et al., 2011), the dACC is linked to both conflict monitoring and distress (Lieberman, 2007; Shackman et al., 2011; Luo et al., 2014; 2015), and the right ventrolateral prefrontal cortex (RVPFC) is involved in regulation of negative affective responses to social or painful threats (Lieberman, 2007; Wager et al., 2004; Eisenberger et al., 2003). Moreover, the functions of these brain regions are highly related to romantic relationships. For instance, adolescents in romantic relationships reported experiencing more conflict than other adolescents (Laursen, 1995). A romantic break-up is one of the main triggers of a major depressive disorder (Davila et al., 2004; Harper and Welsh, 2007), and better self-regulation ability predicts greater relationship outcomes (Luchies et al., 2011). Therefore, to record brain activity during social rejection allowed us to test whether the AI/dACC and RVPFC activity that has different functional significance mediates the association between the 5-HTTLPR and individuals’ feelings of their own romantic relationships.

Using fMRI, we scanned a Chinese sample of s/s and l/l homozygotes of 5-HTTLPR whose dACC, AI and amygdala showed distinct responses to negative emotion (Ma et al., 2014; 205). We adopted a Cyberball game from the previous research (Eisenberger et al., 2003; Masten et al., 2011), in which participants were initially included in ball tossing with two unfamiliar individuals and were excluded at a later stage of the game. Previous studies have shown that being excluded from the Cyberball game induced distressed feelings and activated the emotion-related brain regions such as the dACC/rACC, AI and RVPFC (Eisenberger et al., 2003;
Eisenberger and Lieberman, 2004; Masten et al., 2009; 2011). We asked participants to report their RRS before fMRI scanning. Brain activity in response to rejection by unfamiliar others during the Cyberball game was used to estimate participants’ general sensitivity to social rejection. We were particularly interested in whether s/s and l/l allele carriers of 5-HTTLPR showed distinct neural responses to social rejection during the Cyberball game and which brain regions activated by social rejection mediate the difference in RRS between the two genotyped groups. To clarify these issues helps us to understand whether and how genetic influences on RRS are mediated by negative emotional responses (e.g., distress) or emotion regulation.

**Materials and Methods**

**Participants**

A genotyped sample of 1532 Chinese university students were screened regarding their romantic relationships and other information 1-3 months before this fMRI study. All participants were neither married nor cohabiting. Forty-eight participants were randomly selected from those who reported to be in a romantic relationship for the current fMRI experiment, including 24 homozygotes for the l allele (l/l genotype group) and 24 homozygotes for the s allele (s/s genotype group). All were screened again regarding their romantic relationships before fMRI scanning and 6 participants reported to be out of romantic relationships. Thus the final behavioral and fMRI data analyses including 21 l/l and 21 s/s carriers. All participants were right handed, had normal or corrected-to-normal vision, and reported no abnormal neurological history. Gender, age and self-esteem did not differ significantly between s/s and l/l groups (see
Table 1 for details). Informed consent was obtained from all participants before scanning. This study was approved by a local ethics committee.

**DNA isolation and analysis**

We used a PCR method (Ota et al. 2007) to determine the genotypes of 5-HTTLPR. In a total volume of 50 µl, about 25ng of genomic DNA were amplified in the presence of 1 x TransStart FastPfu DNA Polymerase (TransGen Biotech) reaction system and oligonucleotide primers (forward 5’-GCATCCCCCATTATCCCCCCT-3’ and reverse 5’-AGGCTTGGAGGCCGGGATG-3’) at final concentration of 200 nM. Thermal cycling consisted of a 15 min of initial denaturation at 95°C followed by 35 cycles of 95°C (20 s), 69°C (20 s) and 72°C (15 s) each with a final extension step of 10 min at 72°C. Subsequently, the PCR product was loaded onto a 3% agarose gel (BioWest G-10) to perform electrophoresis to distinguish genotypes of s/s, s/l and l/l. All genotyping was performed in duplicate.

**Stimuli and Procedure**

Before fMRI scanning, participants were asked to complete the Interaction Anxiousness Scale (IAS, Leary & Kowalski, 1993) and the Relationship Assessment Scale (RAS, Hendrick, 1988) to estimate their social interaction anxiety and satisfaction with romantic relationships, respectively. Participants also completed the Rosenberg Self-Esteem Scale (Rosenberg, 1965), the Satisfaction with Life Scale
(Diener et al., 1985) and the Life Orientation Test (Scheier & Carver, 1985) to estimate their self-esteem, life satisfaction, and optimism.

An fMRI-adapted version of the Cyberball game was used to assess neural activity in response to social exclusion. Similar to the previous studies (Eisenberger et al., 2003; Masten et al., 2011), participants were told prior to scanning that their “mental visualization” ability was tested by playing a game of ‘catch’ over the internet with two other players, though participants actually played with a preset computer program. As part of the “mental visualization” exercise, participants were instructed to imagine the experience as real as possible. In the first scan, the participant watched the other “players” play the Cyberball game. During the first scan participants were told that they didn’t have to respond because the experimenter tested the internet connection. This story was intended to bolster the cover story that participants were playing with two others. In the second scan (inclusion), participants played with the other two players through the whole procedure. In the final scan (exclusion), participants received seven throws and were then excluded when the two players stopped throwing a ball to participants for the remainder of the scan (46 throws).

Each scan began with a still picture of two virtual players in the upper corners of the screen and an arm, representing the participant himself/herself, in the lower-center portion of the screen. The participant’s name was displayed below the arm while two
other names were displayed above each of the two virtual players’ animated cartoon representations. After 9 s, the cartoon player in the upper left-hand corner started the game by throwing the ball to one of the players. The participant could return the ball to one of the players by pressing one of two keys on a response box using the right index or middle finger. Similar to the previous studies (Eisenberger et al., 2003; Masten et al., 2011), the Cyberball program was set for 60 throws per scan, with the computer players waiting 0.5-3.0 seconds before making a throw to heighten the sense that the participant was actually playing with other individuals. This resulted in 174 seconds for each scan. Similar to Eisenberger et al. (2003), immediately following the scanning session, we asked participants to complete a brief social exclusion questionnaire to assess how excluded they felt and how distressed they felt due to the exclusion. This questionnaire assessed participants’ feelings of self-esteem (“I felt liked.”), belongingness (“I felt rejected.”), meaningfulness (“I felt invisible.”) and control (“I felt powerful.”) on a 5-point scale (1=“not at all” to 5=“extremely”).

fMRI imaging data acquisition

Functional brain images were acquired using 3.0-Tesla Siemens Trio at the Beijing MRI Center for Brain Research. Blood oxygen level dependent (BOLD) gradient echo planar images were obtained using a 12-channel head coil (64×64×32 matrix with 3.44×3.44×5.0 mm spatial resolution, repetition time (TR) =2000 ms, echo time (TE) =30 ms, flip angle=90°, field of view =24 x 24 cm) while participants performed trait judgments. A high-resolution T1-weighted structural image
(256×256×144 matrix with a spatial resolution of 1×1×1.33 mm, TR=2530 ms, TE=3.37 ms, inversion time (TI) =1100 ms, flip angle=7°) was subsequently acquired.

fMRI data analysis

The functional image data were analyzed by using the general linear model for event-related designs in SPM8 (the Wellcome Trust Centre for Neuroimaging, London, UK). In order to compensate for delays associated with acquisition time differences between slices during the sequential imaging, the functional data were first time-corrected. Then the functional images were realigned to the first scan to correct for head motion between scans. All images were then spatially normalized to the Montreal Neurological Institute (MNI) template and resampled to obtain images with a voxel size of 2×2×2 mm³. Functional images were smoothed using a Gaussian filter with the full-width/ half-maximum parameter (FWHM) set to 8 mm. The event-related neural activity was modeled using a canonical hemodynamic response function.

Fixed effect analyses were first performed to estimate effects at each voxel and to compare regionally specific effects in individual participants using linear contrasts. To define social exclusion specific neural activations, the contrast of exclusion vs. inclusion was calculated. Random effect analyses were then conducted across all participants based on statistical parameter maps from each individual participant to
allow population inference. A threshold of $p < 0.05$ (FWE corrected) was used to define social exclusion specific neural activations. To assess the difference in the neural activity related to social exclusion between s/s and l/l groups, we defined ROIs based on the results of the previous research of social exclusion (MNI coordinates: ACC: x/y/z = 8/20/40, RAI: x/y/z = 42/16/1, RVPFC: x/y/z = 37/50/1, Eisenberger et al., 2003). The ROIs were defined as spheres with radii of 5 mm centered at the peak voxel of activated clusters using MarsBar toolbox in SPM8. To further confirm the difference between s/s and l/l groups during social exclusion, a two sample whole brain analysis was performed and significant differences between s/s and l/l groups were defined using a threshold of p<0.05 (FWE corrected).

Original effect size (ES) and standardized ES (Cumming, 2014) were also calculated to test our hypothesis. Since we assumed a social exclusion activity, the original ES of social exclusion was defined as the increased beta values during social exclusion relative to those during social inclusion. The standardized effect sizes were computed using the partial eta-square ($\eta^2_p$) method. Confidence interval (CI) reported along with the ES referred to 95% CI.

A psychophysiological interaction (PPI) analysis (Friston et al., 1997) was performed to identify brain regions that showed significantly different covariation (i.e. functional connectivity) with dACC activity between social inclusion and exclusion. The coordinates of the peak voxel from the random effect analysis were used as a
landmark for the individual seed voxels. An ROI of a sphere with a radius of 5 mm in the dACC was defined around the peak voxel. The time series of each ROI was then extracted and the PPI regressor was calculated as the element-by-element product of the mean-corrected activity of this ROI and a vector coding for the differential effect of social inclusion vs. social exclusion. The PPI regressors reflected the interaction between psychological variable (social inclusion vs. exclusion) and the activation time course of dACC. The individual contrast images reflecting the effects of the PPI between dACC and other brain areas were subsequently subjected to one-sample t-tests. The results of the group analysis identified brain regions in which the activity systematically showed increased and decreased correlation with dACC activity during social exclusion. The threshold at the cluster level was set to $p < 0.05$ (FWE corrected) for the identification of brain areas that showed significantly different functional connectivity with the seed ROIs.

**Hierarchical regression analysis**

We entered the variables predictive of RRS into a stepwise linear regression to identify the most important predictive variables. The stepwise methodology used $p = 0.05$ to enter a variable into each step and $p = 0.1$ to remove. This method is preferable to classical multiple regression when correlation between factors is suspected. Bootstrap analyses (1000 iterations) were used to explore the mediation relationship between the 5-HTTLPR, RVPFC activity, social interaction anxiousness and RRS (Preacher and Hayes, 2008). An observation of zero outside the 95%
confidence interval would indicate that the indirect (or mediated) effect was significantly different from zero at p<0.05.

Results

Behavioral results

Table 1 shows the results of questionnaire measures of psychological traits, mood, life satisfaction and RRS in the two genotype groups. s/s and l/l allele carriers of 5-HTTLPR did not differ significantly in rating scores of self-esteem and optimism. s/s compared to l/l allele carriers tended to report slightly higher anxiety during social interaction but lower life satisfaction, though the difference did not reach significance. More interestingly, l/l compared to s/s allele carriers reported significantly greater RRS. A stepwise linear regression analysis was conducted to examine the specific association between 5-HTTLPR and individuals’ RRS. Six predictor variables were entered into a model with RRS as the dependent variable: (1) 5-HTTLPR genotype; (2) optimism; (3) self-esteem; (4) life satisfaction; (5) gender; and (6) age. This model predicted RRS (R^2=0.29, p<0.05, Table 2). Stepwise linear regression showed that only 5-HTTLPR genotype (β=0.38, p<0.01) and optimism (β=0.32, p<0.05) made a significant contribution to self-report of RRS (significance R^2 change, p > 0.1 for the other variables).

The social exclusion questionnaire after fMRI scanning confirmed that being excluded during the Cyberball game elicited distress feelings in our participants. The
average response to each question was 2.73. The mean±SE of the total score on this questionnaire was 32.73±1.17, which was significantly greater than the minimum score of 12 that indicates no exclusion-related distress (t(47)=17.76, p<0.001). This score was slightly greater in s/s than l/l groups, but the difference did not reach significance (s/s vs. l/l groups: 33.83±1.81 vs. 31.63±1.48, t(1,46)=0.95, p=0.35, η² =0.02).

**Neuroimaging results**

We first conducted whole-brain analyses to identify neural activity in response to social exclusion (vs. inclusion) across all participants. This contrast revealed increased activations in the dACC/MPFC, bilateral anterior insula (AI), middle frontal gyrus, superior temporal sulcus (STS), temporoparietal junction, caudate and cerebellum (Figure 1A, Table 3). Separate analyses of fMRI data from the two genotype groups showed similar patterns of brain activations when s/s and l/l allele carriers were excluded from the game (Table 4). ROI analyses were conducted to estimate the association between these brain activations and participants’ emotional distress due to social exclusion. Self-reported distress was positively correlated with both the dACC and right AI (RAI) activities across all participants (dACC: x/y/z=4/24/20, r=0.32, p<0.05; RAI: x/y/z=30/24/-8, r=0.40, p=0.01, Figure 1B), suggesting that stronger dACC and RAI activations predicted greater distressed feelings after being excluded from the game. We also conducted whole-brain interaction analyses to examine distinct patterns of neural activity to social exclusion.
and social inclusion in the two genotype groups. This revealed that the contrasts of exclusion vs. inclusion disclosed stronger activation in the RVPFC (x/y/z=44/52/14) in l/l compared to s/s carriers (Figure 2A), suggesting a reliable genotype difference in the neural activity in the brain region that is associated with emotion regulation.

Next we estimated whether the neural activity in the brain regions related to distressed feeling (e.g., RAI), conflict monitoring (e.g., dACC) and emotion regulation (e.g., RVPFC) can predict individuals’ RRS. We first extracted parameter estimates of signal intensity from all participants in the ROIs of the dACC, RAI and RVPFC that were defined independently based on the previous study of social exclusion (Eisenberger et al., 2003). Repeated measure analyses of variance (ANOVAs) with Engagement (Exclusion vs. Inclusion) as a within-subjects variable and Genotype (s/s vs. l/l carriers) as a between-subjects variable were then conducted on the signal intensity in these brain regions. ANOVAs of dACC and AI activities first confirmed increased activations during social exclusion compared to social inclusion (dACC: F(1,40)=8.16, p<0.01, ES=0.21, 95% CI: [0.14, 0.29], \( \eta^2=0.17 \); RAI: F(1,40)=23.75, p<0.001, ES=0.38, 95% CI: [0.30, 0.46], \( \eta^2=0.37 \)). However, the exclusion-related dACC and AI activities did not differ significantly between the two genotype groups (dACC: F(1,40)=0.51, p = 0.48, \( \eta^2=0.01 \); RAI:F(1,40)=2.56, p=0.12, \( \eta^2=0.06 \), Figure 2B). ANOVAs of the RVPFC activity revealed greater activation during social exclusion than social inclusion (F(1,40)=10.83, p<0.005, ES=0.35, 95% CI: [0.24, 0.46], \( \eta^2=0.21 \)). Moreover, the RVPFC activity related to social exclusion
was significantly stronger in l/l compared to s/s carriers ($F(1,40)=5.50$, $p=0.02$, $\eta^2=0.12$, Figure 2B).

To investigate whether the RVPFC activity due to social exclusion mediated the genotype difference in RRS, we first conducted a regression analysis with individuals’ RRS as the criterion variable and the RVPFC activity as predictor variables across all participants. The results indicated that the RVPFC activity significantly predicted self-report RRS ($r(42)=0.62$, $p<0.001$, Figure 2C), suggesting that individuals with a stronger RVPFC activity were more satisfied with their romantic relationships.

Similar analyses failed to identify any association between dACC/AI activities and RRS ($p>0.05$). Next we conducted a mediation regression analysis, where both 5-HTTLPR genotype and the RVPFC activity were included as predictors of RRS to test the mediating role of the RVPFC activity in the association between genotype and RRS. We found that the RVPFC activity remained a reliable predictor ($\beta=-0.56$, $p<0.001$), whereas the effect of 5-HTTLPR genotype decreased significantly (from $\beta=-0.41$ to $\beta=0.09$; Figure 2D). We conducted a bootstrap analysis to further confirm that the RVPFC activity was a significant mediator variable of the relationship between 5-HTTLPR genotype and RRS. This analysis found that the difference between the mediated and unmediated RVPFC activity effects on RRS was estimated to lie between 1.4543 and 6.0856 with 95% confidence (based on procedures recommended by Preacher and Hayes, 2008). The fact that zero is not in the 95% confidence interval indicates that the indirect (or mediated) effect was significantly
different from zero at p<0.05. This suggests that the 5-HTTLPR effect on RRS was reduced by adjusting the influence of the RVPFC activity. A similar bootstrap analysis was conducted to assess an alternative interpretation that RRS mediates the relationship between 5-HTTLPR genotype and emotion regulation as indexed by the RVPFC activity. This analysis, however, found that the indirect (or mediated) effect was not significantly different from zero at p<0.05 (95% CI:[-1.0249, 1.3055]), suggesting that the RRS did not mediate the relationship between 5-HTTLPR and the RVPFC activity.

Similar analyses were also conducted to examine whether the RVPFC activity mediated the genotype difference in social interaction anxiety. A regression analysis first revealed that the RVPFC activity significantly predicted individuals’ social interaction anxiety across all participants (r(48)=0.54, p<0.001, Figure 2E), suggesting that individuals with a stronger RVPFC activity showed lower social interaction anxiety. Moreover, an analysis of regression of mediation with both 5-HTTLPR genotype and the RVPFC activity included as predictors of social interaction anxiousness showed that the RVPFC activity remained a reliable predictor (β=-0.56, p<0.001, Figure 2F), whereas the effect of 5-HTTLPR genotype decreased significantly (from β=-0.25 to β=0.05; Figure 2F). We conducted the bootstrap analysis to confirm that RVPFC activity was a significant mediator variable of the relationship between 5-HTTLPR genotype and social interaction anxiety. This analysis found that the difference between the mediated and unmediated 5-HTTLPR
effects on social interaction anxiety was estimated to lie between -8.1918 to -2.1404 with 95% confidence, indicating that the 5-HTTLPR effect on social interaction anxiety was reduced by adjusting the influence of the RVPFC activity.

Next we examined whether the functional connectivity between the key nodes of the neural circuit activated by social exclusion can predict RRS and social interaction anxiety across all participants. This analysis uncovered significant results only when the dACC was used as the seed for the PPI analysis. The coordinates of the peak voxel around dACC from the random effect analysis were used as a landmark of the seed voxel (x/y/z=2/46/32, Figure 3A). Social inclusion versus exclusion led to increased functional connectivity between the dACC and pre-/post-central gyrus (x/y/z=18/-20/74, Z=4.29; x/y/z=26/-42/74, Z=3.81; Figure 3B), suggesting greater involvement of the sensory and motor cortices when being included in the game. In contrast, relative to social inclusion, social exclusion increased functional connectivity between the dACC and bilateral STS (RSTS: x/y/z=58/-50/26, Z=4.31; LSTS: x/y/z=164/-48/12, Z=4.00) and between the dACC and left cerebellum (left cerebellum: x/y/z=-24/-76/-20, Z=4.09, Figure 3C).

A two-sample analysis of the contrast of exclusion vs. inclusion further revealed stronger functional connectivity between the dACC and rostral ACC (rACC) (x =12, y = 48, z = 4, Z=3.81) in l/l than in s/s carriers (Figure 3D). To assess whether the dACC-rACC connectivity during social exclusion predicted individuals’ RRS and
social interaction anxiety, we conducted a regression analysis with RRS and social interaction anxiety as the criterion variable and the dACC-rACC connectivity as predictor variables. The dACC-rACC connectivity only significantly predicted individuals’ social interaction anxiety ($r(48) = -0.43$, $p < 0.003$), individuals with a stronger dACC-rACC connectivity showed lower social interaction anxiety. To further test whether the dACC-rACC connectivity mediated the association between genotype and social interaction anxiety, we conducted a mediation regression analysis where both 5-HTTLPR genotype and dACC-rACC connectivity were included as predictors of social interaction anxiety. We found that the dACC-rACC connectivity remained a reliable predictor ($\beta = -0.41$, $p < 0.02$) whereas the 5-HTTLPR effect decreased significantly (from $\beta = -0.25$ to $\beta = -0.05$; Figure 3E). We also conducted the bootstrap analysis to confirm that dACC-rACC connectivity was a significant mediator variable of the relationship between 5-HTTLPR genotype and social interaction anxiety. There was a significant reduction in the direct relation between 5-HTTLPR genotype and social interaction anxiety (95% Confidence Interval: -7.5373 to -0.1294; $p < 0.05$, as tested by a bias-corrected bootstrapping procedure). A similar regression of mediation on 5-HTTLPR genotype, dACC-rACC connectivity and RRS revealed that, although there was a significant correlation between RRS and dACC-rACC connectivity ($r(42) = 0.41$, $p < 0.01$), the 5-HTTLPR association with RRS did not change significantly when dACC-rACC connectivity was included (from $\beta = 0.41$ to $\beta = 0.27$). The bootstrap analysis failed to find significant reduction in the direct relation between 5-HTTLPR genotype and individuals’ RRS (95% Confidence
Finally, we conducted a multiple regression analysis to assess the specificity of the association between the RVPFC activity and RRS. Seven predictor variables were entered into a model with RRS as the dependent variable: (1) RVPFC activity, (2) dACC-rACC connectivity, (3) optimism, (4) self-esteem, (5) life satisfaction, (6) gender, and (7) age. This model predicted RRS across all participants ($R^2=0.50$, $p=0.001$, Table 5). Stepwise linear regression showed that only the RVPFC activity ($\beta=0.62$, $p<0.001$) made a significant contribution (significance $R^2$ change, $p>0.1$ for the other variables). A similar multiple regression analysis with social interaction anxiety as the criterion variable was conducted to determine the specificity of the association between dACC-rACC connectivity and individuals’ social interaction anxiety. This model predicted social interaction anxiety ($R^2=0.43$, $p=0.001$, Table 6). Stepwise linear regression showed both the RVPFC activity ($\beta=-0.44$, $p=0.001$) and dACC-rACC connectivity ($\beta=-0.28$, $p<0.05$) made a significant contribution to social interaction anxiety (significance $R^2$ change, $p>0.1$ for the other variables).

**Discussion**

The current work investigated genetic associations with RRS and whether such associations are mediated by the neural activity related to negative emotional responses or emotion regulation in a context of social rejection. We tested s/s and l/l allele carriers of 5-HTTLPR who were identified in the previous studies with distinct
anxiety/depression (Lesch et al., 1996; Greenberg et al., 2000; Caspi et al., 2003; Canli and Lesch, 2007) and distinct brain responses to negative emotions (Hariri et al., 2002; Ma et al., 2014; 2015). The two genotype groups in our study were matched in psychological traits such as self-esteem and optimism. Self-report measures in the current study showed a tendency of higher anxiety related to social interaction and lower life satisfaction in s/s than l/l allele carriers. These are consistent with the behavioral measures of the previous research (Lesch et al., 1996; Caspi et al., 2003; De Neve, 2011). Most interestingly, we found that l/l compared to s/s allele carriers reported significantly greater satisfaction of their romantic relationships. The association between 5-HTTLPR and RRS was confirmed when psychological traits, life satisfaction, gender and age were controlled as covariants. These behavioral results were surprising because our sample size that was determined for fMRI scanning was much smaller than the previous behavioral studies (Lesch et al., 1996; Caspi et al., 2003; De Neve, 2011). These behavioral results indicate high consistency across individuals in each genotype group and support our hypothesis of the association between 5-HTTLPR and RRS. The distinct patterns of differential anxiety and RRS in s/s and l/l allele carriers observed here are congruent with the previous findings of a negative association between anxiety-related trait and subjective well-being (Costa et al., 1980).

Our fMRI results identified brain activations in response to social rejection during the Cyberball game in the regions related to social cognitive and affective processing.
such as the dACC, AI, RVPFC, STS and TPJ. These activations replicated the previous fMRI findings of social rejection using the same game (Eisenberger et al., 2003; Masten et al., 2009; 2011; Sebastian et al., 2010; Bolling et al., 2011; Moor et al., 2012). We also found that the dACC and right AI activities were specifically correlated with self-report of distress due to exclusion from the game, suggesting the functional role of dACC and RAI in representing distressed feelings during social rejection. The two genotype groups reported comparable distressed feelings linked to social rejection during the Cyberball game. Consistent with this, our fMRI results showed comparable dACC/AI activity during social exclusion between s/s and l/l allele carriers of 5-HTTLPR.

More interestingly, we found that, relative to s/s allele carriers of 5-HTTLPR, l/l allele carriers exhibited stronger RVPFC activity in response to social rejection. The previous research has shown that the RVPFC was activated during both social rejection (Eisenberger et al., 2003; Masten et al., 2009; 2011) and physical pain (Petrovic et al., 2000). Moreover, the RVPFC activation was negatively associated with the dACC activity during social and physical pain (Lorenz, 2003; Eisenberger et al., 2003) and with the amygdala activity during perception of emotional faces (Hariri, 2000). Because early brain imaging findings verified the functional role of the RVPFC in different kinds of emotion regulation processes (Lévesque et al., 2003; Phan et al., 2005; Harenski et al., 2006; Kim et al., 2007; Ochsner et al., 2008), the RVPFC has been suggested to play a key role in regulation of distress produced by social and
physical pain (Eisenberger et al., 2003; Eisenberger and Lieberman, 2004). Thus the
distinct patterns of the RVPFC activity in the two genotype groups observed in the
current work suggest stronger engagement of emotion regulation when experiencing
social exclusion in l/l than s/s allele carriers. More closely related to our hypothesis,
our correlation analyses revealed that the RVPFC activity positively predicted
individuals’ self-report of RRS. In addition, the bootstrap analyses confirmed that the
difference in RRS between s/s and l/l allele carriers was mediated by the activity in
the RVPFC but not in dACC and AI. These results support the proposal that emotion
regulation rather than distress feelings mediates the genotype difference in subjective
feelings of one’s own romantic relationships. Our bootstrap analyses further ruled out
the possibility that RRS mediates the relationship between 5-HTTLPR genotype and
emotion regulation. Taken together, while the previous behavioral studies suggested
associations between RRS and personality traits/emotional intelligence (Heller et al.,
2004; Malouff et al., 2014), our current brain imaging findings provide the evidence
for genetic and neural correlates of subjective feelings of one’s own romantic
relationship.

Interestingly, the RVPFC activity did not specifically mediate the association
between 5-HTTLPR and RRS. We also found that the RVPFC activity negatively
predicted self-report of social interaction anxiety and was a reliable predictor of the
association between 5-HTTLPR and individuals’ disposition related to social anxiety.
Thus it is likely that the neural activity in the brain region related to emotion
regulation plays a general role in mediating genetic (e.g., 5-HTTLPR) influences on human affective states related to romantic relationships, though the patterns of the mediation effects could be different depending on affective valence (e.g., satisfaction vs. anxiety). The previous research reported both increased amygdala activity in response to negative environmental stimuli (Hariri et al. 2002; Canli et al. 2005; Heinz et al., 2005; Ma et al., 2015) and increased dACC/AI activity in response to one’s own negative personality traits in s/s allele carriers than l/l carriers (Ma et al., 2014). There was also evidence for increased functional connectivity between the medial prefrontal cortex and amygdala but decreased functional coupling between the ventral ACC and amygdala in s allele carriers than l/l carriers (Heinz et al., 2005; Pezawas et al., 2005). These findings have been used to interpret genetic differences in susceptibility for anxiety and depression. Our findings complement the previous findings by suggesting that the increased RVPFC — a brain region typically involved in regulation of emotion (Ochsner and Gross, 2005) — may contribute to l/l carriers’ low risk for mood disorder during social interactions. In addition, as the RVPFC activity mediated the 5-HTTLPR associations with both RRS and trait anxiety, this brain region may play a general functional role in mediating the association between emotion regulation and individuals’ well-being (Gross and John, 2003).

Our fMRI results found that the RVPFC was the only neural mediator of the association between 5-HTTLPR and RRS but was not the only neural mediator of the 5-HTTLPR association with individuals’ deposition of social anxiety. We found
evidence for increased functional connectivity between dACC and rACC during social exclusion in l/l compared to s/s allele carriers. In addition, the dACC-rACC connectivity negatively predicted individuals’ social interaction anxiety and was demonstrated to be a mediator of the association between 5-HTTLPR and social anxiety. The associations between social interaction anxiety and the RVPFC activity/dACC-rACC connectivity was also verified when individuals’ traits and gender/age were controlled. Early imaging studies suggest that the dACC and rACC are involved in cognitive (e.g., action and conflict monitoring) and affective dimensions of multiple tasks (Bush et al., 2000), respectively. More recent findings indicate that the dACC constitutes a hub where information about negative affect, pain and cognitive control is linked to motor centres responsible for expressing affect and executing goal-directed behavior (Shackman et al., 2011). The increased dACC-rACC connectivity was observed during the Stroop color-word test that required monitoring conflict between perceived color and semantic meaning of words, and the dACC to rACC connection was more salient when word color and semantic meaning were incongruent in patients with major depression compared to healthy controls (Schlösser et al., 2008). These findings indicate enhanced conflict monitoring related to negative mood. In our study l/l compared to s/s allele carriers might adopt more effort to monitor conflict arising from social rejection via the dACC-rACC connection in order to reduce negative emotional responses. Thus 5-HTTLPR may modulate trait anxiety through more complicated neural mechanisms compared to that influencing satisfaction of romantic relationships.
One should be cautious to generalize the significance of our behavior and brain imaging results. Haase et al. (2013) have shown that s/s allele carriers of the 5-HTTLPR reported lower marital satisfaction than l/l carriers when they had experienced more negative emotional behaviors during marital conflict but reported similar satisfaction as l/l carriers when they had experienced more positive emotional behaviors during marital conflict. These results indicate that subjective feelings of marital or romantic relationships may be sensitive to individuals’ experiences and environmental feedback. Our finding does not necessarily indicate that l/l compared to allele carriers of the 5-HTTLPR always feel better regarding their romantic relationships. Future research should investigate how personal experiences and social environments influence individuals’ brain activities that mediate the association between genetic makeup and subjective feelings of romantic relationships.

Our behavioral measures showed that s/s compared to l/l allele carriers tended to report slightly higher anxiety during social interaction but lower life satisfaction. While these results are consistent with those reported in the previous studies (e.g., greater distressing responses among s/s carriers compared with l/l carriers, Caspi et al. 2003), the genotype differences in our measures did not reached significance at a threshold of p<0.05. One possible reason is that our study recruited a sample that is typical for fMRI research but smaller than those in the previous behavioral research. Another possible reason is that questionnaire measures of social interaction anxiety
and life satisfaction ask participants to evaluate their own general affective states (e.g., “I seldom feel anxious during social interactions”, “In most ways my life is close to my ideal”) rather than a specific affective responses related to a concrete event.

However, the s/s and l/l genotype difference may be more sensitive to the measure of a specific affective response related to a concrete event. For example, our recent fMRI study asked s/s and l/l carriers to reflect on their own negative traits and then to report their distressed feelings related to negative thoughts of the self (e.g., ‘Lazy’ describes myself or ‘Smart’ does not describe myself, Ma et al., 2014). With 25 subjects from each genotype group, we found that s/s compared l/l carriers reported significantly higher distressed feelings related to negative thoughts of the self. In the current work, s/s compared l/l carriers reported significantly lower romantic relationship satisfaction. These two measures assessed participants’ specific affective responses (e.g., distress induced by negative thoughts of one’s own personality and negative feelings of one’s own romantic relationships) and revealed significant genotype differences within a small sample of s/s and l/l carriers. Future research should further estimate how measures of general affective states and measures of a specific affective response related to a concrete event are sensitive genetic influences.

The current work did not find greater activity in the amygdala or the insula/ACC in s/s than l/l carriers as those reported in the previous studies (Hariri et al., 2002; Canli et al. 2005; Heinz et al. 2005; Ma et al., 2014; 2015). This is possibly due to the differences in the experimental paradigms used in these studies that tackled different
aspects of emotional responses. For example, the previous studies used event-related
design and examined amygdala activity in response to fearful faces (e.g., Hariri et al.,
2002; Canli et al. 2005; Heinz et al. 2005; Ma et al., 2015) or insular/ACC activity in
response to negative thoughts of oneself (e.g., Me et al., 2014). These studies reported
greater transient neural activity in s/s than l/l carriers. The current study recorded
sustain neural activity in response to social rejection during a long period of time
(almost 3 minutes). Our findings suggest that, during a long-lasting task engaged in
negative emotion, the 5-HTTLPR influence was more salient in the RVFC activity
related to emotion regulation rather than transient emotion responses in the
ACC/insula or amygdala. Together, these findings suggest that different experimental
paradigms tackled different aspects of the s/s and l/l genotype difference in
emotion-related activity.

In conclusion, the current work provided preliminary behavioral evidence for the
association between 5-HTTLPR and self-reported RRS. Our fMRI findings suggest
that better ability of emotion regulation as indexed by the greater RVPFC activity in
response to social rejection predicts high level of RRS. More importantly, our fMRI
findings revealed a mediator role of the RVPFC in connecting the 5-HTTLPR and
RRS, suggesting a possible neurocognitive mechanism underlying 5HTTLPR impact
on subjective feelings of one’s own romantic relationship. In addition, both the
RVPFC activity and dACC-rACC connectivity mediated the association between
5-HTTLPR and self-report of trait anxiety. Thus the emotion regulation underpinned
by the RVPFC may play a general role in mediating the 5-HTTLPR effects on human affective state and well-being.

Acknowledgements

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Reference


Figure legends

**Figure 1.** Illustration of the fMRI results. A) Social exclusion vs. inclusion activated the dACC/MPFC, bilateral AI, bilateral STS and thalamus across all participants. B) Positive correlations between the dACC and RAI activities with participants’ self-reported distress in response to social exclusion. dACC/MPFC=dorsal anterior cingulate/medial prefrontal cortex; AI=anterior insula; STS=superior temporal sulcus.

**Figure 2.** Genetic differences in the RVPFC activity. A) The whole-brain analysis revealed stronger RVPFC activity in response to social exclusion in l/l than in s/s allele carriers. B) The results of ROI analyses. C) The RVPFC activity during social exclusion predicted individuals’ romantic relationship satisfaction. D) Illustration of the mediation effect. The effect of genotype on relationship satisfaction was significantly reduced when the RVPFC activity during social exclusion was included in the regression model. E) The RVPFC activity during social exclusion predicted individual’s social interaction anxiety. F) Illustration of the mediation effect. The effect of genotype on social interaction anxiousness was significantly reduced when the RVPFC activity during social exclusion was included in the regression model.

**Figure 3.** Results of psychophysiological interaction (PPI) analysis. A) Illustration of the location of the dACC seed for the PPI analysis. B) Increased functional connectivity between the dACC and right pre/postcentral gyrus during social
inclusion vs. exclusion. C) Increased functional connectivity between the dACC and bilateral STS and left cerebellum during social exclusion vs. inclusion. D) l/l compared to s/s allele carriers showed stronger functional connectivity between the dACC and rACC during social exclusion vs. inclusion. E) Illustration of the mediation effect. The effect of genotype on social interaction anxiousness was significantly reduced when the functional connectivity between the dACC and rACC during social exclusion was included in the regression model.
Table 1. Subject information of two genotype groups

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IAS: Interaction Anxiousness Scale; RAS: Relationship Assessment Scale; SLS: Satisfaction with Life Scale; LOT: Life Orientation Test.

Table 2. Results of stepwise linear regression analyses examining the association between relationship satisfaction and the 5-HTTLPR genotype

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Stepwise

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* p<0.05; ** p<0.01;
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ACC/dMPFC: anterior cingulated cortex/dorsal medial prefrontal cortex; AI: anterior insula; IFG: inferior frontal gyrus; MFG: middle frontal gyrus; TPJ: temporoparietal junction; STS: superior temporal sulcus. (voxel threshold: p=0.001; Cluster threshold: p=0.05 FWE corrected)
Table 5. Results of stepwise linear regression analyses examining the association between RVPFC activity, dACC-rACC connectivity and relationship satisfaction

<table>
<thead>
<tr>
<th>criterion variable</th>
<th>predictor variables</th>
<th>B</th>
<th>95% CI</th>
<th>β</th>
<th>t</th>
<th>p-value</th>
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<td>RVPFC activity</td>
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<td>[1.33, 5.34]</td>
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<td>0.002**</td>
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<td>4.96</td>
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** p<0.01; *** p<0.001;

Table 6. Results of stepwise linear regression analyses examining the association between RVPFC activity, dACC-rACC connectivity and interaction anxiety

<table>
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<th>criterion variable</th>
<th>predictor variables</th>
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<th>95% CI</th>
<th>β</th>
<th>t</th>
<th>p-value</th>
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* p<0.05; ** p<0.01;
Figure 1
201x151mm (300 x 300 DPI)
Figure 2
288x300mm (300 x 300 DPI)
Figure 3
259x133mm (300 x 300 DPI)